

Louisiana Insurers' Conference

**ANNUAL LOUISIANA
INSURANCE COMPLIANCE
SEMINAR & LEGISLATIVE REVIEW**

August 5-7, 2015
Renaissance Hotel | Baton Rouge, LA



COMPLIANCE CONNECTIONS

Agent and Agency 101 Summer Road Trip

Presented by
Mike Boutwell, Asst. Commissioner
&
Lorie Gasior, License Director

August 6, 2015

Destination: **Compliance**





Getting Started on the Journey





Getting Started on the Journey

Understanding the Licensing Process



Understanding the Licensing Process

- The Producer Licensing Team
- Completing a License Application
- Taking the License Examination
- Fingerprinting

The Producer Licensing Team

Who We Are

- Producer Licensing is a part of the Office of Licensing.
- Team is comprised of 13 examiners and technicians.
- Each team member has primary task assignments but is cross-trained to handle multiple duties.

The Producer Licensing Team

Who We Regulate

- Producers
- Claims Adjusters
- Public Adjusters
- Education Providers
- Navigators
- Appraisers
- Managing General Agents
- Rental Car Agencies
- Professional Employer Organizations

The Producer Licensing Team

What We Do


- Respond to phone/e-mail inquiries from licensees, insurers and the general public
- Process initial and renewal applications
- Update licensee information
- Approve pre-licensing and CE course submissions
- Work with other divisions in investigations
- Administrative actions



Completing the Application

Filing online through NIPR

Using the Attachment Warehouse



Taking the License Examination

New Testing Vendor - PSI

When to schedule

How to schedule



Fingerprinting

When to Print

Walk in schedule

How the process works

Are We There Yet?





Avoid Speed Bumps and Detours Along the Way



Avoiding Speed Bumps and Detours

Know what you need *before* filing the application



Avoiding Speed Bumps and Detours

Read the questions *completely* and *carefully*

Avoiding Speed Bumps and Detours

Avoid distracted driving



Preventative Maintenance





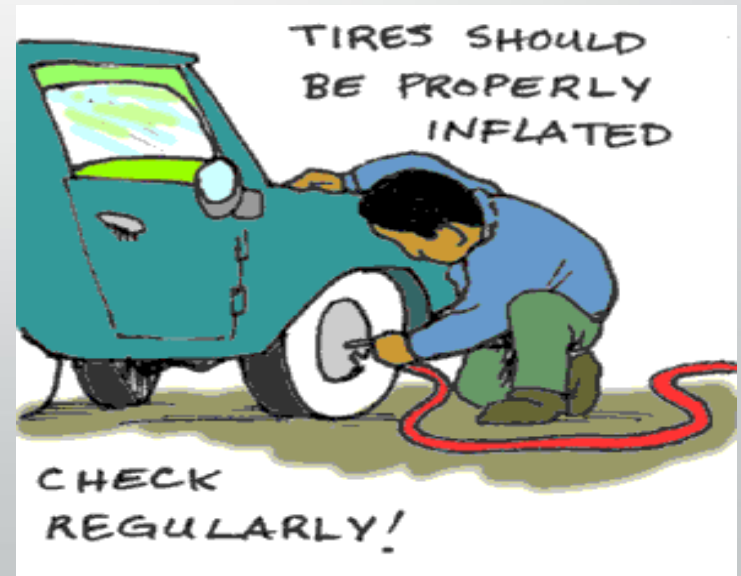
Don't Breakdown on the Way !

Don't Breakdown on the Way

Keeping e-mail updated

Be aware of due dates

CE done ahead of time

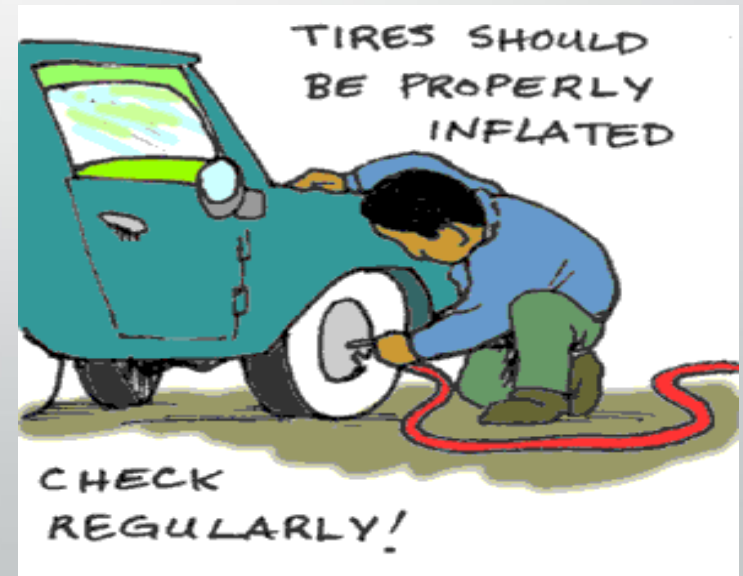


Don't Breakdown on the Way

Agency Affiliations

Responsibilities of Designated Responsible
Producer

Branch Offices

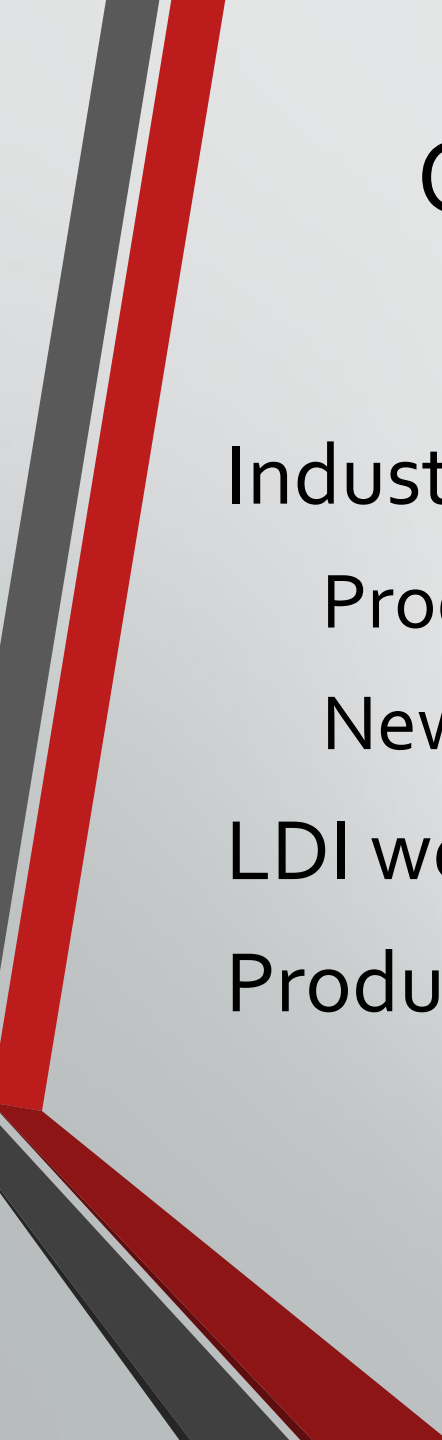


Your Roadside Tool Kit





Online Tools and Resources



Online Tools and Resources

Industry Access

Producer/Adjuster Portal

New Affiliation Module

LDI website

Producer Licensing Staff



Questions?